

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 14455
In Re Application Of: Richard J. Lewis, et al.			
Serial No. 09/806,376	Filing Date October 15, 2001	Examiner Unassigned	Group Art Unit Unassigned
Title: NOVEL PEPTIDES			
Address to: Assistant Commissioner for Patents Washington, D.C. 20231			
<div style="text-align: right; font-weight: bold; font-size: 1.2em;">RECEIVED</div> <div style="text-align: right; font-weight: bold; font-size: 0.8em;">MAY 03 2002</div> <div style="text-align: right; font-weight: bold; font-size: 0.8em;">TECH CENTER 1600/2900</div>			
37 CFR 1.97(b)			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.			
37 CFR 1.97(c)			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:			
<div style="margin-left: 40px;"> 1. a Final Action under 37 CFR 1.113, or 2. a Notice of Allowance under 37 CFR 1.311, </div> <div style="margin-left: 40px;">whichever occurs first.</div>			
Also submitted herewith is:			
<input type="checkbox"/> a certification as specified in 37 CFR 1.97(e);			
OR			
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).			

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Docket No.

14455

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Serial No.

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Unassigned

Title:

NOVEL PEPTIDES

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____) on _____ (Date)	
_____ Signature	
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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on November 1, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.	
_____ Signature of Person Mailing Correspondence	
Michelle Mustafa Typed or Printed Name of Person Mailing Correspondence	

*This certificate may only be used if paying by deposit account.

Frank S. DiGiglio
Signature

Dated: November 1, 2001

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Richard J. Lewis, et al.

Examiner: Unassigned

Serial No.: 09/806,376

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Dated: November 1, 2001

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TECH CENTER 1600/2900

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. International Application No. WO 98/24462, dated June 11, 1998;
2. International Application No. WO 95/11256, dated April 27, 1995;
3. International Application No. WO 99/21878, dated May 6, 1999; and
4. International Application No. WO 99/33482, dated July 8, 1999.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on November 1, 2001.

Dated: November 1, 2001


Michelle Mustafa

The references were cited in a Search Report received from the Australian Patent Office, dated November 11, 1999. Applicants are submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



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